

§2311. Seizure and Removal of Gaming Equipment and Devices

A. Gaming equipment, devices and/or associated equipment may be summarily seized by the division. Whenever the division seizes and removes gaming equipment, devices and/or associated equipment:

1. an inventory of the gaming equipment, devices and/or associated equipment seized will be made by the division, identifying all such gaming equipment, devices and/or associated equipment as to make, model, serial number, type, and such other information as may be necessary for authentication and identification;
2. all such gaming equipment, devices and/or associated equipment will be sealed or by other means made secure from tampering or alteration;
3. the time and place of the seizure will be recorded; and
4. a copy of the inventory of the seized gaming equipment, devices and/or associated equipment will be provided to the licensee, casino operator or permittee.

AUTHORITY NOTE: Promulgated in accordance with R.S. 27:15 and 24.

HISTORICAL NOTE: Promulgated by the Department of Public Safety and Corrections, Gaming Control Board, LR 38:1619 (July 2012).

§2315. Seized Equipment and Devices as Evidence

A. All gaming equipment, devices and/or associated equipment seized by the division shall be considered evidence, and as such shall be subject to the laws of Louisiana governing chain of custody, preservation and return, except that:

1. any article of property that constitutes a cheating device shall not be returned. All cheating devices shall become the property of the division upon their seizure and may be disposed of by the division, which disposition shall be documented as to date and manner of disposal;
2. if the property is not characterized as a cheating device, such property may be returned to the claimant;
3. items seized for inspection or examination may be returned by the division without a court order.

AUTHORITY NOTE: Promulgated in accordance with R.S. 27:15 and 24.

HISTORICAL NOTE: Promulgated by the Department of Public Safety and Corrections, Gaming Control Board, LR 38:1619 (July 2012).

§2317. Subpoenas in Connection with Investigative Hearings

A. The board or division supervisor has full power and authority to issue subpoenas to compel the attendance of witnesses and the production of documents in accordance with the Act and these rules for investigative hearings at any place within the state, and to administer oaths and require testimony under oath. Any such subpoena issued by the board

or division supervisor will be served in a manner consistent with the service of process and notices in civil actions.

B. For failure or refusal to comply with any subpoena issued by the board or division and duly served, the board or division may cite the subpoenaed party for contempt and may impose a fine as provided in the laws of the state of Louisiana. Such contempt citations and fines may be appealed to the Nineteenth Judicial District Court.

AUTHORITY NOTE: Promulgated in accordance with R.S. 27:15 and 24.

HISTORICAL NOTE: Promulgated by the Department of Public Safety and Corrections, Gaming Control Board, LR 38:1619 (July 2012).

§2319. Refusal to Answer, Privilege

A. A person may claim any privilege afforded by the Constitution of the United States or of the state of Louisiana in refusing to provide information to, answer questions of, or cooperate in any investigation by the division or board.

B. Refusal to provide information to, answer questions of, or cooperate in any investigation by the division or board, or a claim of privilege with respect to any testimony or evidence, may constitute sufficient grounds for administrative action.

AUTHORITY NOTE: Promulgated in accordance with R.S. 27:15 and 24.

HISTORICAL NOTE: Promulgated by the Department of Public Safety and Corrections, Gaming Control Board, LR 38:1620 (July 2012).

§2321. Investigative Hearings

A. Investigative hearings may be conducted by the board at such times and places as may be convenient to the board. Investigative hearings may be conducted in private at the discretion of the board. A transcript of the hearing shall be made by a licensed court reporter.

AUTHORITY NOTE: Promulgated in accordance with R.S. 27:15 and 24.

HISTORICAL NOTE: Promulgated by the Department of Public Safety and Corrections, Gaming Control Board, LR 38:1620 (July 2012).

§2323. Interrogatories

A. All interrogatories propounded by the board or the division shall be in writing and shall be served in the manner consistent with the service of process in civil actions. The respondent is entitled to 15 days within which to respond.

AUTHORITY NOTE: Promulgated in accordance with R.S. 27:15 and 24.

HISTORICAL NOTE: Promulgated by the Department of Public Safety and Corrections, Gaming Control Board, LR 38:1620 (July 2012).

§2325. Administrative Actions and Penalty Schedule

A. The board or division may initiate administrative action authorized by the Act for any violation of the Act or of the rules after notice of the proposed administrative action and after opportunity to request a hearing before the board.

B. The board or division may initiate administrative action authorized by the Act for any violation of any

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condition, restriction, or limitation imposed by the board on a license or permit.

C. The board or division may initiate administrative action authorized by the Act for violation of a licensee's or casino operator's internal controls as approved by the division.

D. Subject to the rights in the casino operating contract, administrative action includes revocation, suspension, finding of unsuitability, or conditioning of a license or permit, imposition of a civil penalty or such other costs as the board or division deems appropriate. The board or division may determine the appropriate sanction considering factors contained in the Act including, but not limited to:

1. the risk to the public and the integrity of gaming operations created by the conduct;

2. the seriousness of the conduct and whether the conduct was purposeful and with knowledge that the conduct was in violation of the Act or rules promulgated in accordance with the Act;

3. a justification or excuse for the conduct;

4. the history of the licensee, casino operator or permittee with respect to gaming activity;

5. the corrective action taken to prevent similar misconduct from occurring in the future;

6. whether there was any material involvement, directly or indirectly, with the licensee, casino operator or permittee by a disqualified person as defined in the Act; and

7. in the case of a civil penalty or fine, the amount of the fine in relation to the severity of the misconduct and the financial means of the licensee, casino operator or permittee.

E. The board or division may assess a civil penalty as provided for in the penalty schedule. The penalty schedule lists a base fine and proscriptive period for each violation committed by the licensee, casino operator or permittee. If the total amount of the penalty or penalties recommended by the division resulting from an inspection or investigation exceeds \$300,000.00, the matter shall be forwarded to the board for administrative action.

F. The proscriptive period is the amount of time in which a prior violation is still considered active for purposes of consideration in assessment of penalties. A prior violation is a past violation of the same type which falls within the current violation's proscriptive period. The date of a prior violation shall be the date the licensee, casino operator, or permittee receives the significant action report or violation/inspection report. If one or more violations exist within the proscriptive period, the base fine shall be multiplied by a factor based on the total number of violations within the proscriptive period.

G. A violation of §2931 may result in a civil penalty in the same amount as provided in the penalty schedule for the respective violation.

H. Penalty Schedule

Section Reference	Description	Base Penalty	Proscriptive Period (Months)
Louisiana Administrative Code, Title 42, Part III			
Chapter 21. Licenses and Permits			
2101.B	General Authority of the Board and Division	\$10,000	18
2108	Non-Gaming Suppliers	\$2,000	12
2112.B	Continuing Suitability, Duty to Report	\$10,000	24
2112.C	Continuing Suitability, Duty to Report	\$5,000	24
2115	State Tax Clearances Required of a Gaming Employee Permittee	\$250	24
2117.E	Certification Required, Riverboat Only	\$2,500	24
2131.B	Time Table for Financing and Construction	\$25,000	24
2159	Gaming Employee Permits Required	\$10,000	18
2165	Display of Gaming Identification Badge	\$500	12
Chapter 23. Compliance, Inspections, and Investigations			
2325	Administrative Actions and Penalty Schedule	\$2,500	12
2329	Notification of Supplier Recommendation or Solicitations	\$2,000	12
Chapter 25. Transfers of Interest in Licensees and Permittees; Loans and Restrictions			
2521	Financial Transaction; Incurring Debt	\$75,000	60
Chapter 27. Accounting Regulation			
2701	Procedures for Reporting and Paying Gaming Revenues and Fees: Late Reports	\$2,000	12
2701	Procedures for Reporting and Paying Gaming Revenues and Fees: Late Wire Transfers	\$5,000	12
2703	Accounting Records (per issue)	\$2,000	12
2705	Records of Ownership	\$500	12
2707	Record Retention	\$10,000	18
2709.B	Quarterly Financial Statements	\$1,000	12
2709.C	SEC Reports	\$500	12
2711.A	Guidelines	\$10,000	12
2711.B	Required Signatures	\$500	12
2711.D	Change of CPA Requirements	\$10,000	60
2711.E	Separate Financial Statements	\$5,000	12
2711.F	Audited Financial Statements (submission date)	\$10,000	60
2711.G	Change of Business Year	\$2,000	60
2711.H	Other CPA Reports	\$2,000	60
2711.I	Quarterly Net Win Reports	\$5,000	24
2711.J	Additional CPA Information	\$10,000	60
2711.K	IRS Audit Report	\$5,000	60
2713.B	Written Approval Required for Licensees Own Calculation Procedure	\$5,000	12
2713.C	Submit Monthly Calculation to Division	\$5,000	12
2713.D	Submission of Revised Calculated Amount	\$5,000	12

Section Reference	Description	Base Penalty	Proscriptive Period (Months)
2714	Internal Controls, General	\$2,500	12
2714.G	Amendments to Internal Controls Required by the Division	\$20,000	24
2715.A	Keys	\$10,000	24
2715.B	Restricted Areas	\$10,000	24
2715.C	Internal Audit	\$10,000	18
2715.D	Addition of Game or Computerized System	\$25,000	24
2715.E	Training	\$5,000	24
2716	Clothing Requirements	\$5,000	12
2717.A-E	Fills and Credits	\$2,000	12
2717.F	Table Games Inventory Procedures	\$5,000	12
2717.G	Credit Procedures in Pit	\$2,000	12
2717.H	Non-Marker Credit Play	\$5,000	12
2717.I	Call Bets	\$10,000	18
2717.J	Table Games Drop Procedures	\$10,000	24
2717.K	Table Games Count Procedures	\$10,000	24
2717.L	Table Games Key Control Procedures	\$10,000	24
2717.M	Supervisory Controls	\$2,500	12
2717.N	Accounting and MIS Functions	\$2,500	12
2719.A	Handling of Cash at Gaming Tables	\$5,000	18
2719.B	No Cash Wagers Allowed	\$10,000	18
2721	Tips and Gratuities	\$2,000	12
2723.B and C	Jackpot Request	\$2,000	12
2723.D	Jackpot Payout Slip	\$2,000	12
2723.E	Jackpot Payout Slips greater than the amount required to file a W2-G (\$2,000 as of 1/1/26. After 2026, the amount grows with inflation.)	\$1,000	12
2723.F	Jackpot Payout Slips greater than \$5,000	\$5,000	12
2723.G	Jackpot Payout Slips greater than \$10,000	\$10,000	18
2723.H	Jackpot Payout Slips greater than \$500,000	\$15,000	24
2723.I	Slot Fill Slips	\$2,000	12
2723.J	Slot Hard Drop	\$10,000	12
2723.K	Slot Count	\$10,000	12
2723.L	Hard Count Weigh Scale	\$10,000	12
2723.M	Accurate and Current Records for Each Slot Machine	\$5,000	12
2723.N	Slot Machines Removed from Gaming Floor	\$10,000	18
2723.O	Currency Acceptor Drop and Count Standards	\$10,000	24
2723.P	Computer Records	\$5,000	12
2723.Q	Management Information Systems (MIS) Functions	\$5,000	18
2723.R	Accounting Department Audit Procedures Relative to Slot Operations	\$10,000	24
2723.S	Slot Department Requirements	\$2,000	12
2725	Poker	\$2,500	12
2727	Race Book	\$5,000	12
2729	Cage, Vault and Credit	\$5,000	12

Section Reference	Description	Base Penalty	Proscriptive Period (Months)
2730	Exchange of Chips and Tokens	\$1,000	12
2731	Currency Transaction Reporting	\$5,000	12
2735	Net Gaming Proceeds Computation	\$5,000	12
2736	Treatment of Credit for Computing Net Gaming Proceeds	\$5,000	12
Chapter 29. Operating Standards			
2901	Code of Conduct of Licensees and Permittees	\$10,000	24
2901.B.4.c	Notification: arrest, summons, citation or charge for any criminal offense or violation which if convicted would be a disqualification pursuant to R.S. 27:28(B)	\$500	24
	Notification: arrest, summons, citation or charge for any criminal offense or violation which if convicted would not be a disqualification pursuant to R.S. 27:28(B)	\$250	24
	Notification: Death of Owner/Member/Officer/Revenue-Recipient	\$250	24
	Notification: Stock Or Interest Transfer	\$500	24
	Notification: Company Structure Change (conversion/merger etc)	\$500	24
	Notification: Company Management Structure Change	\$500	24
2904	Record Retention	\$10,000	18
2911	Accessibility to Premises; Parking	\$1,000	12
2915	Methods to Prevent Minors from Gaming Area	\$10,000	12
2919	Finder's Fees	\$10,000	12
2921	Collection of Gaming Credit	\$10,000	60
2923	Gaming Employee Badge Equipment	\$2,500	12
2927	Advertising	\$1,000	18
2935	Entertainment Activities	\$5,000	12
2937.A	Distributions	\$2,000	12
2937.B	Distributions	\$5,000	12
2943	Gaming Employees Prohibited from Gaming	\$2,500	12
2945	Restricted Areas	\$10,000	24
2953	Promotions	\$5,000	12
2954	Tournaments	\$5,000	12
2955	Managerial Representative on Premises	\$25,000	18
Chapter 31. Rules of Play			
3101.A and B	Only Authorized Games Allowed	\$25,000	24
3101.C	Games Must Be Conducted According to Rules and Licensee's Rules of Play	\$5,000	12
3103	Rules of Play	\$5,000	12
3104	Gaming Equipment, Gaming Table, and Gaming Table Layout Requirements	\$5,000	12

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Section Reference	Description	Base Penalty	Proscriptive Period (Months)
3105	Procedures for opening and closing of the gaming table	\$5,000	12
3106	Procedures for each game that uses cards	\$5,000	12
3107	Wagers	\$5,000	12
3109	Game Limits	\$5,000	12
3111	Publication of Payoffs	\$5,000	12
3113	Periodic Payoffs	\$5,000	12
Chapter 33. Surveillance			
3301	Required Surveillance Equipment	\$10,000	24
3302	Digital Video Recording Standards	\$10,000	24
3303	Surveillance System Plans	\$25,000	24
3305	Surveillance and Division Room Requirements	\$10,000	24
3307	Segregated Telephone Communication	\$5,000	24
3309	Surveillance Logs	\$10,000	24
3311	Storage and Retrieval	\$20,000	24
3315	Maintenance and Testing	\$20,000	24
3317	Surveillance System Compliance	\$25,000	24
Chapter 34. Security			
3403	Security Plans	\$25,000	24
3409	Security Logs	\$10,000	24
Chapter 35. Patron Disputes			
3502	Division Notification	\$1,000	12
Chapter 40. Designated Check Cashing Representatives			
4003	Cash Transaction Reporting for Designated Check Cashing Representative	\$5,000	12
4004	General Requirements	\$2,500	12
4006	Record Retention for Designated Check Cashing Representatives	\$10,000	18
4007	Designated Check Cashing Representative's Clothing Requirements	\$5,000	12
4008	Internal Controls; Designated Check Cashing Representative	\$2,500	12
4009	Internal Controls; Designated Check Cashing Representative Cage and Credit	\$5,000	12
4010	Designated Check Cashing Representative Currency Transaction Reporting	\$5,000	12
4011	Internal Controls Compliance	\$2,500	12
Chapter 42. Electronic Gaming Devices			
4202	Approval of Gaming Devices; Applications and Procedures; Manufacturers and Suppliers	\$10,000	12
4204	Progressive EGDs	\$5,000	12
4205	Computer Monitoring Requirements of Electronic Gaming Devices	\$10,000	12
4208	Certification by Manufacturer	\$1,000	12
4209	Approval of New Electronic Gaming Devices	\$5,000	12
4210	Electronic Gaming Device Tournament	\$5,000	12

Section Reference	Description	Base Penalty	Proscriptive Period (Months)
4211	Duplication of Program Storage Media	\$20,000	24
4212	Marking, Registration, and Distribution of Gaming Devices	\$5,000	12
4213	Approval to Sell or Dispose of Gaming Devices	\$10,000	24
4214	Maintenance of Gaming Devices	\$20,000	24
4215	Analysis of Questioned Electronic Gaming Devices	\$20,000	12
Chapter 43. Specifications for Gaming Devices and Equipment			
4301	Approval of Chips and Tokens; Applications and Procedures	\$5,000	12
4309	Use of Chips and Tokens	\$1,000	12
4311	Receipt of Gaming Chips and Tokens	\$1,000	12
4313	Inventory of Chips	\$5,000	12
4315	Redemption and Disposal of Discontinued Chips and Tokens	\$5,000	12
4317	Destruction of Counterfeit Chips and Tokens	\$5,000	12
4318	Promotional and Tournament Chips or Tokens	\$5,000	12
4319	Approval and Specifications for Dice	\$5,000	12
4321	Dice: Receipt, Storage, Inspections and Removal From Use	\$5,000	12
4323	Approval and Specifications for Cards	\$5,000	12
Revised Statutes, Title 27. Louisiana Gaming Control Law			
Chapter 2. Louisiana Gaming Control Board			
27:29.3	Annual Fee	\$500	60
27:29.5	Renewal of Permits; Penalties	\$500 plus not less than \$25 or 25 percent of the amount due, whichever is the greater	60
Chapter 4. The Louisiana Riverboat Economic Development and Gaming Control Act			
Part III. Gaming Enforcement Division			
27:58.10	Toll-Free Telephone Number	\$1,000 per day	24
27:61(1)	Net Gaming Procedures	\$2,000	12
27:61(2)	Tax Paid	\$2,000	12
27:61(3)	Quarterly Financial Statements	\$1,000	12
27:61(3)	Annual Financial Statements	\$10,000	60
Part V. Conducting of Gaming Operations			
27:65B(1)	Sailing Requirements	\$5,000	12
27:65B(2)	Sailing Duration	\$5,000	12
27:65B(3)	Division Agents May Inspect Anytime	\$25,000	60
27:65B(4)	Gaming Equipment Must Be from Permitted Suppliers	\$25,000	

Section Reference	Description	Base Penalty	Proscriptive Period (Months)
27:65B(5)	Wagering Restrictions	\$10,000	18
27:65B(7)	Gaming Equipment Storage	\$25,000	60
27:65B(9)	No One under 21 Allowed	\$10,000	12
27:65B(11)	Wagering Only with Chips, Tokens, etc.	\$10,000	18
27:65B(13)	Adequate Insurance	\$25,000	60
27:65B(15)	Must Obey All Rules	\$10,000	18
Part VIII. Issuance of Permits to Manufacturers, Suppliers, and Others			
27:84	Gaming Employee Permits	\$10,000	18
27:85A	Unpermitted Employee	\$10,000	18
27:85B	Underage Patron/Employees	\$10,000	12
27:86	Issuance of Permit to Conduct Racehorse Wagering	\$5,000	12
Chapter 5. The Louisiana Economic Development And Gaming Corporation Law			
Part V. General Corporation Gaming Operations			
27:230E	License or Permit Required	\$10,000	60
Part VI. Land-Based Casino Operating Contract			
27:244A(7)	Adequate Insurance	\$25,000	60
Part VII. Licenses, Fees, and Registration			
27:250A	License or Permit Required	\$10,000	60
27:250G	Unpermitted Employee	\$10,000	18
Part IX. Prohibitions, Exclusions, and Gaming Offenses			
27:260A	No One Under 21 Allowed	\$10,000	12
Chapter 7. Pari-Mutuel Live Racing Facility Economic Redevelopment and Gaming Control Act			
Part II. O Conduct of Slot Machine Gaming Activity			
27:376	No One under 21 Allowed	\$10,000	12

AUTHORITY NOTE: Promulgated in accordance with R.S. 27:15 and 24.

HISTORICAL NOTE: Promulgated by the Department of Public Safety and Corrections, Gaming Control Board, LR 38:1620 (July 2012), amended LR 40:1381 (July 2014), amended LR 45:582 (April 2019), LR 52:65 (January 2026).

§2327. Proof of Compliance

A. If a licensee, casino operator or permittee is notified by the division of a possible violation of the Act or the rules, the licensee, casino operator or permittee may submit proof of compliance with the Act and rules within 10 days of receipt of the notification.

AUTHORITY NOTE: Promulgated in accordance with R.S. 27:15 and 24.

HISTORICAL NOTE: Promulgated by the Department of Public Safety and Corrections, Gaming Control Board, LR 38:1623 (July 2012).

§2329. Notification of Supplier Recommendations or Solicitations

A. The casino operator and all licensees shall file a written report with the division on the twentieth day of the following month providing the name, address, and telephone number of any person who recommends to, or solicits through any agent, employee, or representative who has authority to contract for the licensee or casino operator, for the purchase of goods or services from a particular supplier during the month. The licensee and casino operator shall also report the name,

address, and telephone number of the recommended supplier to the division at the same time. This provision shall only apply to the solicitation or purchase of goods or services with a value in excess of \$10,000. This provision shall not apply to any recommendations made to the licensee or casino operator for the hiring of employees working in the day-to-day operations of the casino.

B. The licensee or casino operator shall also report any recommendation or solicitation received under circumstances in which a reasonable person would perceive there to be pressure, intimidation of any kind or other conduct not customary in an ordinary business transaction.

C. Supplier, for the purposes of this Section, shall include, but is not limited to, any manufacturer, distributor, gaming supplier, non-gaming supplier, junket representative, professional, independent contractor, consultant, or other person in the business of providing goods and services regardless of whether required to be licensed, permitted, or registered.

D. If no recommendations or solicitations have occurred during a month, a report shall not be submitted for that period.

AUTHORITY NOTE: Promulgated in accordance with R.S. 27:15 and 24.

HISTORICAL NOTE: Promulgated by the Department of Public Safety and Corrections, Gaming Control Board, LR 38:1623 (July 2012).

Chapter 24. Relocation of Gaming Operations

§2401. Relocation of Gaming Operations to a Facility, Procedure

A. Prior Approval

1. Prior written approval of the board is required to relocate gaming operations to a facility in accordance with R.S. 27:44(24)(e) and R.S. 27:67.

2. Failure to obtain approval from the board prior to relocating gaming operations to a facility may be grounds for administrative action against a licensee.

B. Application

1. A licensee desiring to relocate its gaming operations to a facility in accordance with R.S. 27:44(24)(e) and 27:67 shall file an application with the board, which application shall include the following:

a. a petition requesting approval to relocate all or a portion of its gaming operations to a facility as provided for in R.S. 27:44(24)(e) and 27:67;

b. a site plan designating the licensee's current approved berth site and the location of the proposed facility;

c. a legal property description of the land owned or leased by the licensee on which the facility is to be located;

d. a detailed capital improvement and reinvestment plan;