



State of Louisiana  
Gaming Control Board

KATHLEEN BABINEAUX BLANCO  
GOVERNOR

H. CHARLES GAUDIN  
CHAIRMAN

**IN RE: NAUMANN INVESTMENTS, INC.  
D/B/A NAUMANN INVESTMENTS, INC.  
NO. 5006614776**

**ORDER**

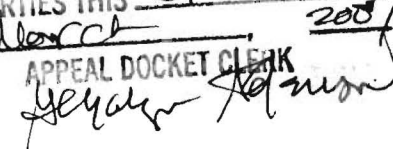
This matter was considered by the Louisiana Gaming Control Board at its meeting of March 20, 2007. The Hearing Officer's order dated March 12, 2007, based on the "Joint Motion for Approval of Compromise and Settlement Agreement" in the matter of the "Notice of Recommendation of Administrative Action," No. 5006614776, by and between Naumann Investments, Inc. d/b/a Naumann Investments, Inc., and the State of Louisiana, Department of Public Safety and Corrections, Office of State Police, which is attached hereto and incorporated herein, is **APPROVED.**

**THUS DONE AND SIGNED on this the 20 day of March, 2007.**

**LOUISIANA GAMING CONTROL BOARD**

**BY:**

  
\_\_\_\_\_  
**H. CHARLES GAUDIN, CHAIRMAN**

LOUISIANA GAMING CONTROL BOARD  
I HEREBY CERTIFY THAT A CERTIFIED  
COPY HAS BEEN MAILED OR SERVED ON  
ALL PARTIES THIS 21st DAY  
OF March, 2007  
APPEAL DOCKET CLERK  


**RECEIVED**

MAR 12 2007

LGCB  
ADMINISTRATIVE HEARING OFFICE

**STATE OF LOUISIANA  
LOUISIANA GAMING CONTROL BOARD  
ADMINISTRATIVE HEARING OFFICE**

**IN RE: NAUMANN INVESTMENTS, INC.  
d/b/a NAUMANN INVESTMENTS, INC.**

No. 5006614776

**RECEIVED**  
MAR 13 2007  
By \_\_\_\_\_

**JOINT MOTION FOR APPROVAL OF COMPROMISE AND  
SETTLEMENT AGREEMENT**

**TO THE HONORABLE ADMINISTRATIVE HEARING OFFICER:**

**COME NOW** State of Louisiana, Department of Public Safety and Corrections, Office of State Police ("the Division") and Naumann Investments, Inc., d/b/a Naumann Investments, Inc., (hereinafter "Licensee"), who file this Joint Motion for Approval of Compromise and Settlement Agreement, and in support thereof would respectively show unto the Hearing Officer as follows:

1.

The Division and Licensee are desirous of compromising and settling all disputes between them relative to the referenced administrative proceeding. In connection therewith, the parties have entered into a compromise and settlement agreement which by its terms will become effective upon approval by the Administrative Hearing Office and the Louisiana Gaming Control Board.

2.

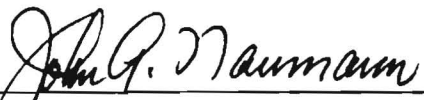
A true and correct copy of the proposed Compromise and Settlement Agreement is attached hereto as Exhibit "A" and incorporated by reference for all purposes.

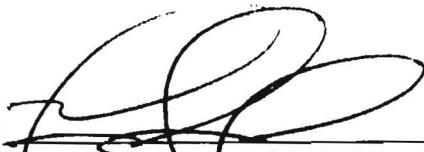
**TRUE COPY**  
*[Signature]*  
Representative  
Louisiana Gaming Control Board

**WHEREFORE, PREMISES CONSIDERED,** The Division and Licensee respectfully request that this Honorable Administrative Hearing Officer approve the parties' Compromise and Settlement Agreement.

Respectfully Submitted,

**CHARLES C. FOTI, JR.  
ATTORNEY GENERAL**

By:   
**John A. Naumann**  
President  
P.O. Box 53302  
Lafayette, Louisiana 70505  
Phone: (337) 367-1443  
**Naumann Investments, Inc.**  
**d/b/a Naumann Investments, Inc.**

By:   
**Michael E. Tyler, #29463**  
Assistant Attorney General  
1885 North Third Street, 5<sup>th</sup> Floor  
Baton Rouge, Louisiana 70802  
Phone: (225) 326-6500  
Facsimile: (225) 326-6599  
**Counsel for Office of State Police**

**EXHIBIT "A"**

**STATE OF LOUISIANA  
LOUISIANA GAMING CONTROL BOARD  
ADMINISTRATIVE HEARING OFFICE**

**IN RE: NAUMANN INVESTMENTS, INC.  
d/b/a NAUMANN INVESTMENTS, INC.**

**No. 5006614776**

**COMPROMISE AND SETTLEMENT AGREEMENT**

The State of Louisiana, Department of Public Safety and Corrections, Office of State Police (hereinafter "the Division") and Naumann Investments, Inc. d/b/a/ Naumann Investments, Inc. (hereinafter "Licensee") do hereby represent and agree as follows:

**WHEREAS**, the Louisiana Gaming Control Board has issued a Notice of Recommendation of Administrative Action to Licensee alleging certain violations of Louisiana Gaming Control Law; and

**WHEREAS**, the issues raised by the said Notice of Recommendation of Administrative Action are set for hearing on March 12, 2007, before the Louisiana Gaming Control Board Administrative Hearing Office; and

**WHEREAS**, the Division and Licensee are desirous of fully and finally compromising and settling all issues and disputes arising out of and in connection with the said Notice of Recommendation of Administrative Action;

**NOW, THEREFORE**, in consideration of the foregoing, the parties hereto do hereby agree and stipulate as follows:

**STIPULATIONS**

1. On March 16, 2006, the Division mailed an Annual Licensee Form via United States Postal Service to Licensee.

2. On June 23, 2006, the Division received the completed Annual Licensee Form and fee for Licensee, postmarked June 21, 2006.

3. The appropriate tax clearance certificates from the State of Louisiana and the Licensee's local taxing authority were not included with the form and fee.

4. On January 11, 2007, the Licensee submitted a copy of a State of Louisiana tax clearance certificate dated April 24, 2006, and a local tax clearance certificate from the St. Martin Parish School Board dated November 16, 2005.

5. Due to the local tax clearance certificate from the St. Martin Parish School Board having a date of November 16, 2005, this tax clearance was declared by the Division to be unacceptable to be utilized for the period covered by the Annual Licensee Form received on June 23, 2006.

6. On February 16, 2007, the Licensee submitted a proper local tax clearance certificate from the St. Martin Parish School Board dated February 16, 2007.

7. LAC 42:XI.2405(B)(4)(b) mandates for tax clearance certificates from the State of Louisiana and the Licensee's local taxing authority to be submitted to the Division no later than July 1 of each year.

8. Licensee did not timely submit its tax clearance certificates to the Division, which is in violation of LAC 42:XI.2405(B)(4)(b).

#### **TERMS AND CONDITIONS**

1. Licensee will pay a civil penalty of TWO HUNDRED AND FIFTY DOLLARS (\$250.00) for their violation of LAC 42:XI.2405(B)(4)(b).

2. The Division hereby agrees to accept Licensee's payment of the above stated penalty in full and final settlement of the Notice of Recommendation of Administrative Action issued on December 11, 2006.


3. The Division reserves the right to take into consideration these admitted violations in connection with any future investigation, violation or assessment of penalty, and in connection with any future evaluations of the Licensee's suitability.

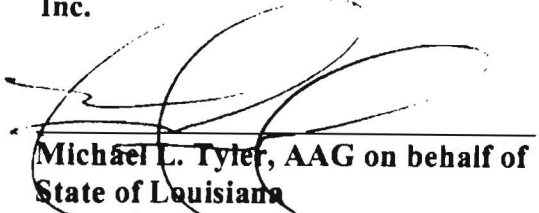
4. The terms of this Compromise and Settlement Agreement shall be interpreted under the laws of the State of Louisiana.

5. This Compromise and Settlement Agreement constitutes the entire agreement between the Division and Licensee, regarding the subject matter contained herein and supersedes all prior and contemporaneous agreements, representations and understandings of the parties.

6. This Compromise and Settlement Agreement is subject to approval by the Hearing Officer and the Louisiana Gaming Control Board. It is expressly understood that if this proposed settlement is approved by the Hearing Officer, this agreement is not thereby executory, but will be submitted to the Louisiana Gaming Control Board for its determination as to whether to approve the same or to remand the matter to the Hearing Officer for a full hearing on the merits. Failure to pay the penalty within fifteen (15) days of approval of this settlement shall result in the immediate suspension of NAUMANN INVESTMENTS, INC. d/b/a NAUMANN INVESTMENTS, INC., permit without the necessity of further administrative action, until such time as the penalty is paid in full.

I have read this entire Compromise Settlement Agreement and agree to all stipulations and terms and conditions hereof.

  
Duly authorized representative of Naumann Investments, Inc., d/b/a Naumann Investments, Inc.

  
Michael L. Tyler, AAG on behalf of  
State of Louisiana  
Department of Public Safety & Corrections, Office of State Police

STATE OF LOUISIANA  
LOUISIANA GAMING CONTROL BOARD  
ADMINISTRATIVE HEARING OFFICE

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ORDER

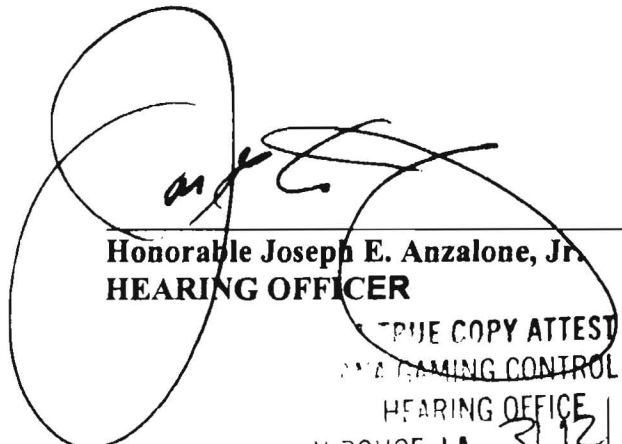
BE IT REMEMBERED that on this the 12 day of March, 2007, came on for consideration the Joint Motion for Approval of Compromise and Settlement Agreement, and the parties having appeared by and through their respective attorneys of record, and the Hearing Officer having considered the pleadings on file, the proposed Settlement Agreement, and the said Motion, and it appearing to the Hearing Officer that the said Compromise and Settlement Agreement should be approved; it is, therefore,

**ORDERED, ADJUDGED, AND DECREED** that the Compromise and Settlement Agreement attached to the parties' Joint Motion for Approval of Compromise and Settlement Agreement be, and the same is hereby, **APPROVED**.

**SIGNED AND ENTERED** this 12 day of March, 2007, in Baton Rouge,

Louisiana.

LOUISIANA GAMING CONTROL BOARD  
ORDERED THAT A CERTIFIED  
COPY BE FILED OR SERVED ON  
March 12 2007 DAY  
Jill Damme  
DOCKET CLERK, ADMINISTRATIVE HEARING OFFICE  
cc: John Naumann  
Michael Taylor  
Ct. Ireland Falcon

  
Honorable Joseph E. Anzalone, Jr.  
HEARING OFFICER  
TRUE COPY ATTEST  
LOUISIANA GAMING CONTROL BOARD  
HEARING OFFICE  
BATON ROUGE, LA 3/12/07  
Jill Damme  
BY: CLERK