

KATHLEEN BABINEAUX BLANCO GOVERNOR H. CHARLES GAUDIN CHAIRMAN

IN RE: OTIS ELEVATOR COMPANY NO. P080901376A

ORDER

This matter was considered by the Louisiana Gaming Control Board at its meeting of April 17, 2006. The Hearing Officer's order dated March 30, 2006, based on the "Joint Motion for Approval of Compromise and Settlement Agreement" in the matter of the "Notice of Recommendation of Suspension and Penalty," by and between Otis Elevator Company, No. P080901376A, and the State of Louisiana, Department of Public Safety and Corrections, Office of State Police, which is attached hereto and incorporated herein, is **APPROVED**.

THUS DONE AND SIGNED on this the _____ day of April, 2006

LOUISIANA/GAMING CONTROL BOARD

BY:

H. CHARLES GAUDIN, CHAIRMAN

I HEREEY CERTIFY THAT A CERTIFIED
COPY HAS BEEN MAILED OR SERVED ON
ALL PARTIES THIS

9100 Bluebonnet Centre, Suite 500, Baton Rouge, LA 70809 Phone: (225) 295-8450 Fax: (225) 295-8479

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STATE OF LOUISIANA
LOUISIANA GAMING CONTROL BOARD
ADMINISTRATIVE HEARING OFFICE

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ADMINISTRATIVE HEARING OFFICE

IN RE: OTIS ELEVATOR COMPANY

P080901376

COMPROMISE AND SETTLEMENT AGREEMENT

The State of Louisiana, Department of Public Safety and Corrections, Office of State Police (hereinafter "the Division") and Otis Elevator Company, P080901376, do hereby represent and agree as follows:

WHEREAS, the Louisiana Gaming Control Board has issued a Notice of Recommendation of Suspension and Penalty to Otis Elevator Company, which notice alleges certain violations of Louisiana Gaming Control Law; and

WHEREAS, the issues raised by the said Notice of Recommendation of Suspension and Penalty are set for hearing on March 30, 2006, before the Louisiana Gaming Control Board Administrative Hearing Office; and

WHEREAS, the parties hereto are desirous of fully and finally compromising and settling all disputes and issues raised in connection with the said Notice of Recommendation of Suspension and Penalty;

NOW, THEREFORE, in consideration of the foregoing, the parties hereto do hereby agree and stipulate as follows:

STIPULATIONS

1. Pursuant to La. R.S. 27:29 and La. R.S. 27:29.3, Otis Elevator Company is required to submit an Annual fee and Annual affidavit by November 5, which represents its permit anniversary date.

2. Otis Elevator Company did not timely submit the required annual forms and fees in 2004 and again in 2005.

3. On January 9, 2006, the Louisiana Gaming Control Board issued a Notice of Recommendation of Suspension and Penalty to Otis Elevator Company, P080901376, alleging certain violations of Louisiana Gaming Control Law.

TERMS AND CONDITIONS

- 1. The Division reserves the right to take into consideration these violations in connection with any future violations.
- 2. A subsequent failure to timely submit the Annual Affidavit and Annual Fee, will result, at a minimum, in an enhanced civil penalty.
- 3. Any and all information discovered throughout the course of this or any other investigation may be used in any future determination of the suitability of Otis Elevator Company.
- 4. Otis Elevator Company agrees to pay a civil penalty of Five Hundred and Sixty
 Two Dollars and Fifty Cents (\$562.50).
- 5. This Compromise and Settlement Agreement constitutes the entire agreement between the Division and Otis Elevator Company pertaining to the subject matter contained herein and supersedes all prior and contemporaneous agreements, representations and understandings of the parties.
- 6. This Compromise and Settlement Agreement is subject to approval by the Hearing Officer and the Louisiana Gaming Control Board. It is expressly understood that if this proposed settlement is approved by the Hearing Officer, this agreement is not thereby executory, but will be submitted to the Louisiana Gaming Control Board for its determination as to whether to approve same or to remand the matter to the Hearing Officer for a full hearing on the merits.
- 7. If approved, Otis Elevator Company must make payment of the civil penalty to the Division within ten (10) days of approval by the Louisiana Gaming Control Board. Failure

to do so shall result in the immediate suspension of the license without the necessity of any further administrative action until such time as the penalty is paid in full.

8. The terms of this Compromise and Settlement Agreement shall be interpreted under the laws of the State of Louisiana.

I have read this entire Compromise Settlement Agreement, understand it, and agree to all stipulations and terms and conditions hereof.
Reed Myers, Manager of Otis Elevator Company, P080901376
Sworn to and subscribed before me, the undersigned notary, in the County of Rulaski this day of Notary Signature
Notary Name and Number
Notary Commission expires 3-31-2011

Olga M. Bogran, AAG on behalf of

State of Louisiana

Department of Public Safety & Corrections

Office of State Police

STATE OF LOUISIANA LOUISIANA GAMING CONTROL BOARD ADMINISTRATIVE HEARING OFFICE

IN RE: OTIS ELEVATOR COMPANY

P080901376

ORDER

BE IT REMEMBERED that on this the ______ day of ______, 2006, came on for consideration the Joint Motion for Approval of Compromise and Settlement Agreement, and the Hearing Officer having considered the pleadings on file, the proposed Settlement Agreement, and the said Motion, and it appearing to the Hearing Officer that the said Compromise and Settlement Agreement should be approved; it is, therefore,

ORDERED, ADJUDGED, AND DECREED that the Compromise and Settlement Agreement attached to the parties' Joint Motion for Approval of Compromise and Settlement Agreement be, and the same is hereby, APPROVED.

SIGNED AND ENTERED this _____ day of ______, 2006, in Baton Rouge,

Louisiana.

LOUISIANA GAMING CONTROL BOARD
I HEREBY CERTIFY THAT A CERTIFIED

COPY HAS BEEN MAILED OR SERVED ON

DOCKET GERK ADMINISTRATIVE HEARING DEFICE

DUCKET OFFICE ADMINISTRATIVE HEARING UPP

Olipa Buyran Sixti Roland Falcon JOSEPH E. ANZALONE HEARING OFFICER

A TRUE COPY ATTEST
LOUISIANA GAMING CONTROL BOARD

HEARING OFF BATON ROUGE, LA

BY: CLERK

STATE OF LOUISIANA LOUISIANA GAMING CONTROL BOARD ADMINISTRATIVE HEARING OFFICE

IN RE: OTIS ELEVATOR COMPANY

P080901376

JOINT MOTION FOR APPROVAL OF COMPROMISE AND SETTLEMENT AGREEMENT

TO THE HONORABLE ADMINISTRATIVE HEARING OFFICER:

COME NOW State of Louisiana, Department of Public Safety and Corrections, Office of State Police ("Division") and Otis Elevator Comapny, P080901376, who file this, their Joint Motion for Approval of Compromise and Settlement Agreement, and in support thereof would respectively show unto the Hearing Officer as follows:

1.

The Division and Otis Elevator Company are desirous of compromising and settling all disputes between them relative to the referenced administrative proceeding. In connection therewith, the parties have entered into a compromise and settlement agreement which by its terms will become effective upon approval by the Administrative Hearing Office and the Louisiana Gaming Control Board.

2.

A true and correct copy of the proposed Compromise and Settlement Agreement is attached hereto as Exhibit "A" and incorporated by reference for all purposes.

WHEREFORE, PREMISES CONSIDERED, The Division and Otis Elevator Company respectfully request that this Honorable Administrative Hearing Officer approve the parties' Compromise and Settlement Agreement.

Respectfully Submitted,

Bv

Reed Meyers, Manager
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Bv.

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CHARLES C. FOTI, JR. ATTORNEY GENERAL

Counsel for the Office of State Police