



State of Louisiana
Gaming Control Board

BOBBY JINDAL
GOVERNOR

DALE A. HALL
CHAIRMAN

**IN RE: HALIFAX SECURITY, INC. D/B/A
NORTH AMERICAN VIDEO, INC.
NO. P086502865A**

ORDER

This matter was considered by the Louisiana Gaming Control Board at its meeting of July 19, 2012. The Hearing Officer's order dated July 11, 2012, based on the "Joint Motion for Approval of Compromise and Settlement Agreement" in the matter of the "Notice of Recommendation of Suspension and Penalty" by and between Halifax Security, Inc. d/b/a North American Video, Inc., No. P086502865A, and the State of Louisiana, Department of Public Safety and Corrections, Office of State Police, which is attached hereto and incorporated herein, is **APPROVED**.

THUS DONE AND SIGNED on this the 19th day of July, 2012.

LOUISIANA GAMING CONTROL BOARD

BY: _____

Dale A. Hall

DALE A. HALL, CHAIRMAN

LOUISIANA GAMING CONTROL BOARD
I HEREBY CERTIFY THAT A CERTIFIED
COPY HAS BEEN MAILED OR SERVED ON
ALL PARTIES THIS 20th DAY
OF July, 2012
APPEAL DOCKET CLERK
[Signature]

LGCB-1585-12-B

RECEIVED

By GERALYN COLEMAN at 11:30 am, Jul 11, 2012

STATE OF LOUISIANA
LOUISIANA GAMING CONTROL BOARD
ADMINISTRATIVE HEARING OFFICE

RECEIVED

JUL 09 2012

LGCB
ADMINISTRATIVE HEARING OFFICE

RE: HALIFAX SECURITY, INC.
D/B/A NORTH AMERICAN VIDEO, INC.

P086502865 A

**JOINT MOTION FOR APPROVAL OF COMPROMISE AND
SETTLEMENT AGREEMENT**

TO THE HONORABLE ADMINISTRATIVE HEARING OFFICER:

NOW COME the State of Louisiana, Department of Public Safety and Corrections, Office of State Police (hereinafter, the "Division") and Halifax Security, Inc. d/b/a North American Video, Inc. (hereinafter, "Halifax"), who file this Joint Motion for Approval of Compromise and Settlement Agreement, and in support thereof would respectively show unto the Hearing Officer as follows:

1.

The Division and Halifax are desirous of compromising and settling all disputes between them relative to the referenced administrative proceeding. In connection therewith, the parties have entered into a Compromise and Settlement Agreement which by its terms will become effective upon approval by the Administrative Hearing Office and the Louisiana Gaming Control Board.

2.


A true and correct copy of the proposed Compromise and Settlement Agreement is attached hereto as Exhibit "A" and incorporated by reference for all purposes.

TRUE COPY
Kaly Arso
Representative
Louisiana Gaming Control Board

WHEREFORE, PREMISES CONSIDERED, the Division and Halifax respectfully request that this Honorable Administrative Hearing Officer approve the parties' Compromise and Settlement Agreement.

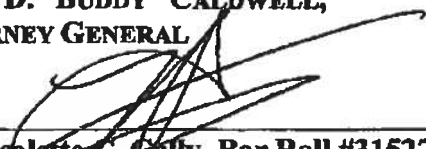
Respectfully Submitted,

By: _____


Peter M. Rode
301 Drum Point Road
Brick, New Jersey 08723
Telephone: (732) 477-0686
Facsimile: (202) 296-7133
*Chief Financial Officer of Halifax
Security, Inc. d/b/a North American
Video, Inc.*

**JAMES D. "BUDDY" CALDWELL,
ATTORNEY GENERAL**

By: _____


Nicolette C. Goffy, Bar Roll #31527
Assistant Attorney General
1885 North Third Street, 5th Floor
Baton Rouge, Louisiana 70802
Telephone: (225) 326-6500
Facsimile: (225) 326-6599
Counsel for the Office of State Police

months after its anniversary date, in violation of La. R.S. 27:29(I), La. R.S. 27:29.3(F), LAC 42:IX.2901(C)(1)(c), and LAC 42:IX.2903(A).¹

TERMS AND CONDITIONS

1. In lieu of suspension and penalty, Halifax will pay a penalty of SIXTY-TWO DOLLARS and 50/100 (\$62.50) pursuant to La. R.S. 27:29.5(C) and a civil penalty of FIVE HUNDRED DOLLARS and No/100 (\$500.00), a total penalty of FIVE HUNDRED SIXTY-TWO DOLLARS and 50/100 (\$562.50) for its violations of La. R.S. 27:29(I), La. R.S. 27:29.3(F), LAC 42:IX.2901(C)(1)(c), and LAC 42:IX.2903(A).
2. The Division hereby agrees that payment of a civil penalty of SIXTY-TWO DOLLARS and 50/100 (\$62.50) pursuant to La. R.S. 27:29.5(C) and a civil penalty of FIVE HUNDRED DOLLARS and No/100 (\$500.00), a total penalty of FIVE HUNDRED SIXTY-TWO DOLLARS and 50/100 (\$562.50) shall be in full and final settlement of all matters set forth in the Notice of Recommendation of Suspension and Penalty for Halifax's violations of La. R.S. 27:29(I), La. R.S. 27:29.3(F), LAC 42:IX.2901(C)(1)(c), and LAC 42:IX.2903(A).
3. The Division reserves the right to take into consideration these admitted violations in connection with any future investigation, violation or assessment of penalty and in connection with any future assessments of Halifax's suitability.
4. The terms of this Compromise and Settlement Agreement shall be interpreted under the laws of the State of Louisiana.
5. This Compromise and Settlement Agreement constitutes the entire agreement between the Division and Halifax, pertaining to the subject matter contained herein and

¹ See Exhibit 1.

supersedes all prior and contemporaneous agreements, representations and understandings of the parties.

6. This Compromise and Settlement Agreement is subject to approval by the Hearing Officer and the Louisiana Gaming Control Board. It is expressly understood that if this proposed settlement is approved by the Hearing Officer, this agreement is not thereby executory, but will be submitted to the Louisiana Gaming Control Board for its determination as to whether to approve same or to remand the matter to the Hearing Officer for a full hearing on the merits.

7. If approved, Halifax agrees to make full payment of the civil penalty within fifteen (15) days of approval of this settlement by the Louisiana Gaming Control Board. Halifax agrees that failure to meet this requirement shall result in immediate suspension of the non-gaming supplier permit without the necessity of any further administrative action until such time as the penalty is paid in full.

I have read this entire Compromise and Settlement Agreement and agree to all stipulations and terms and conditions hereof.



**Peter M. Rode, on behalf of Halifax Security, Inc. d/b/a
North American Video, Inc.**



**Nicolette C. Colly, AAG, on behalf of
State of Louisiana, Department of Public Safety &
Corrections, Office of State Police**

STATE OF LOUISIANA
LOUISIANA GAMING CONTROL BOARD
ADMINISTRATIVE HEARING OFFICE

RE: **HALIFAX SECURITY, INC.**
D/B/A NORTH AMERICAN VIDEO, INC.

P086502865

ORDER

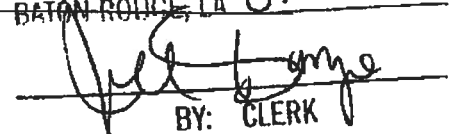
BE IT REMEMBERED that on the 11th day of July, 2012, came on for consideration the Joint Motion for Approval of Compromise and Settlement Agreement, and the parties having appeared by and through their respective attorneys of record, and the Hearing Officer having considered the pleadings on file, the proposed Compromise and Settlement Agreement, and the said Motion, and it appearing to the Hearing Officer that the said Compromise and Settlement Agreement should be approved; it is, therefore,

ORDERED, ADJUDGED, AND DECREED that the Compromise and Settlement Agreement attached to the parties' Joint Motion for Approval of Compromise and Settlement Agreement be, and the same is hereby, **APPROVED**; that the Permittee must pay a total penalty of **FIVE HUNDRED SIXTY-TWO DOLLARS and 50/100 (\$562.50)** to the Division within fifteen (15) days of approval by the Louisiana Gaming Control Board. Failure to do so shall result in the immediate suspension of the gaming permit without the necessity of any further administrative action until such time as the penalty is paid in full.

SIGNED AND ENTERED this 11th day of July, 2012, in Baton

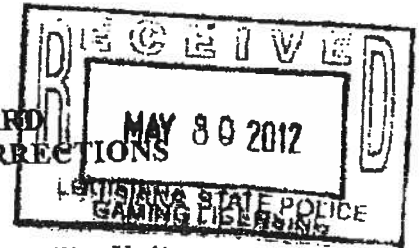
LOUISIANA GAMING CONTROL BOARD
Rouge, Louisiana
I HEREBY CERTIFY THAT A CERTIFIED
COPY HAS BEEN MAILED AND DELIVERED ON
ALL PERMITTED
BY July 11th 2012
LOCAL HEARING OFFICE
cc: Peter M. Ridd
Nicolette C. Calby
Sgt. Lowell Sidor


HEARING OFFICER

A TRUE COPY ATTEST
LOUISIANA GAMING CONTROL BOARD
HEARING OFFICE
BATON ROUGE, LA 07.11.12

BY: CLERK



**LOUISIANA GAMING CONTROL BOARD
DEPARTMENT OF PUBLIC SAFETY AND CORRECTIONS
CASINO GAMING DIVISION**



Gaming Suitability Unit
7919 Independence Blvd., (Box A-21)
Baton Rouge, LA 70806

Gaming Suitability Unit
P.O. BOX 66614 (Box A-21)
Baton Rouge, LA 70896-6614

**ANNUAL PERMIT UPDATE FORM
ANNIVERSARY DATE: 3-27**

(PLEASE TYPE) FOR OFFICE USE ONLY

Date Submitted: _____ Check No.: _____ Receipt No.: _____ Entered _____
By: _____

Legal Name of Permittee: Halifax Security, Inc		D/b/a of Permittee: North American Video	
Physical Address: 301 Drum Point Rd Brock, NJ 08723		Mailing Address: 301 Drum Point Rd Brock, NJ 08723	
Permit No. P086502565	Federal Tax ID No. [REDACTED]	La. State Tax ID No. [REDACTED]	Telephone No. (732) 477-0686
Parish/County (Location of Business)	Within City Limits? <input type="checkbox"/> YES <input type="checkbox"/> NO	Louisiana Alcohol and Tobacco Control (ATC) Permit No. (If applicable) N/A	
Contact Person for Permittee Peter Rode	Title/Position Held CEO	Telephone No. (732) 477-0686	
Social Security No. 044-56-3236	Date of Birth (MM/DD/YY) 12-28-61		
Web Site (URL) www.NAVCLTV.com	E-Mail address prude@navcltv.com	FAX No. (732) 477-0686	

CASINO GAMING ANNUAL FEE SCHEDULE

(1) Manufacturer of Slot Machines and Video Draw Poker Devices.....	\$15,000.00
(2) Manufacturer of Gaming Equipment Other Than Slot Machines and Video Draw Poker Devices.....	\$ 7,500.00
(3) Gaming Supplier.....	\$ 3,000.00
(4) Non-Gaming Supplier.....	\$ 250.00



ANNUAL FEE REQUIREMENTS

Louisiana Revised Statutes 27:1 et seq. require all manufacturer of slot machines and video draw poker devices permittees, all manufacturer of gaming equipment other than slot machines and video draw poker devices permittees, gaming supplier permittees and non-gaming supplier permittees to remit the appropriate annual fee to the Louisiana State Police, Casino Gaming Division. The annual fee is due at the time of application and on the anniversary date of the permit thereafter. Failure to remain current in the payment of any fee shall result in the suspension of the permit.

INSTRUCTIONS

Complete information on the Annual Permit Update Form.

Sign and notarize the Annual Fee Affidavit. If the business is a partnership, limited liability company or corporation, the affidavit must be signed by a duly authorized representative.

Submit the Annual Permit Update Form, a completed Annual Fee Affidavit and the appropriate fee in the form of a cashier's check, money order or company check made payable to the "State of Louisiana, Department of Public Safety and Corrections" by (1) Certified or Registered Mail, Return Receipt Requested; or (2) Private or Commercial Carrier to:

**Louisiana Department of Public Safety and Corrections
Casino Gaming Division**

Mailing Address:
Gaming Suitability Unit
P.O. Box 66614 (Box A-21)
Baton Rouge, LA 70896-6614

Physical Address:
Gaming Suitability Unit
7919 Independence Blvd. Box (A-21)
Baton Rouge, LA 70806

For further information, contact the Louisiana State Police, Gaming Suitability Unit at (225) 925-3597.

ANNUAL FEE AFFIDAVIT

Before me the undersigned Notary, personally came and appeared:

Peter Bode (Affiant) acting in the capacity of

- Individual (includes sole proprietors); or
- duly-authorized officer
(Director, officer, partner, or manager)

of Haltfax Security, Inc
(Name of corporation, partnership, limited liability company or other business entity on whose behalf you are acting) doing business as North American Video issued Permit Number: PD 8650 2865

do, for;

- myself, or
- the corporation, partnership, limited liability company or other business entity listed above, its successors and assigns,

who after being duly sworn by me, did depose and say that:

1. I have read the original application and all subsequent renewal applications filed by the permittee; all the answers and other information contained in the original application and all renewal applications were true and correct and have remained the same, or if any answers or other information have changed and the change(s) have not been previously provided to the Division in writing, I am providing updated answer(s) or updated information on a separate sheet of paper that is attached to and made part of this affidavit;
2. I have read the original application and all subsequent renewal applications; the business structure and ownership contained in the original application and all renewal applications have remained the same, or, if any answers or other information have changed and the change(s) have not been previously provided to the Division in writing, I am providing updated answer(s) or updated information on a separate sheet of paper that is attached to and made part of this affidavit;
3. Since submitting the original application to the Division, no officer, director, partner, manager or managing member, or shareholder, partner or member with a 5% or more ownership interest or key/managerial employee of the corporation, partnership, limited liability company or other business entity listed above has been detained, issued a summons or citation, arrested, charged, indicted or forfeited bail for any criminal offense or violation for any

reason whatsoever, excluding minor traffic violations regardless of the disposition of such offense or violation, including dismissal or expungement; or, if any of those persons have been detained, issued a summons or citation, arrested, charged, indicted or forfeited bail for any criminal offense or violation whatsoever, regardless of the disposition of such offense, including dismissal or expungement, I am providing information and certified copies of documents regarding the detention, issuance of summons or citation, arrest, charge, indictment, or forfeiture of bail on a separate sheet of paper that is attached to and made a part of this affidavit;

4. Since submitting the original application to the Division, no officer, director, partner, manager or managing member, or shareholder, partner or member with a 5% or more ownership interest or key/managerial employee of the corporation, partnership, limited liability company or other business entity listed above has been convicted of, or plead guilty or nolo contendere to, any charge or offense, excluding minor traffic violations; or, if any of those persons has been convicted of, or plead guilty or nolo contendere to, any charge or offense, I am providing information and certified copies of documents regarding the conviction or plea of guilty or nolo contendere on a separate sheet of paper that is attached to and made a part of this affidavit;
5. Since submitting its original application to the Division, the corporation, partnership, limited liability company or other business entity listed above has ~~NOT had an application for a gaming license, permit or other suitability~~ determination subjected to any regulatory action in any jurisdiction, including, but not limited to, denial, non-renewal, suspension, revocation, investigation, penalty, fine or any condition; or, if it has, I am providing information and certified copies of documents regarding such action on a separate sheet of paper that is attached to and made part of this affidavit;
6. The statements and responses provided in this affidavit are true and correct to the best of my knowledge, information and belief and represent a complete and accurate account of the requested information;
7. I have read, understand and agree to comply with La. R.S. 27:1 et seq, and the rules contained in Title 42 of the Louisiana Administrative Code;
8. I have executed this affidavit voluntarily;
9. I understand that failure to provide correct and complete information is cause for the denial of any renewal application or other administrative action; and

10. I understand that the making of any false statement in this affidavit is a violation of La. R.S. 27:30 and is punishable by up to ten (10) years in prison or a fine of up to ten thousand dollars (\$10,000.00), or both.

Peter Rode
Name of permittee (print)

By: [Signature]
Signature

CFD
Title of Affiant (print)

Sworn to and subscribed before me, the undersigned Notary Public, in

Brock (City), Ocean (County/Parish),

NJ (State), on this 29 day of May, 2012.

[Signature]
Signature of Notary Public

My Commission Expires:

SEAL
JAIMEL KISCH
Notary Public of New Jersey
Commission Expires 4/26/2013
Registration #2249169

31371

Halifax Security, Inc. DBA North American Video

Date	Invoice Number	Comment	Amount	Discount Amount	Net Amount
5/29/2012	5/29/12		250.00	0.00	250.00

Check: 031371

5/29/2012 LOUISIANA DEPT OF PUBLIC

Check Total: 250.00

THE FACE OF THIS DOCUMENT HAS A COLORED BACKGROUND OR WHITE PAPER AND ORIGINAL DOCUMENT SECURITY SCREEN ON BACK WITH PAPERLOCK SECURITY LOGO.

Halifax Security, Inc.
DBA North American Video
301 Dwyer Blvd. West
Bridgewater, NJ 08723

Wachovia Bank
a division of Wells Fargo Bank, N.A.

55-2/212

31371
031371

DATE: 5/29/2012 AMOUNT: *****250.00*

*TWO HUNDRED FIFTY AND XX / 100

LOUISIANA DEPT OF PUBLIC
SAFETY & CORRECTIONS
7919 INDEPENDENCE BLVD
(A-21)
Baton Rouge, LA 70806

Two Signatures Required If Over \$25,000

[Signature]
AUTHORIZED SIGNATURE

⑆031371⑆ ⑆021200025⑆ 2000031199920⑆

31371

Halifax Security, Inc. DBA North American Video

Date	Invoice Number	Comment	Amount	Discount Amount	Net Amount
5/29/2012	5/29/12		250.00	0.00	250.00



5/29/2012 LOUISIANA DEPT OF PUBLIC

Check Total: 250.00

STATE OF LOUISIANA
LOUISIANA GAMING CONTROL BOARD
ADMINISTRATIVE HEARING OFFICE

RE: HALIFAX SECURITY, INC.
D/B/A NORTH AMERICAN VIDEO, INC.

P086502865

COMPROMISE AND SETTLEMENT AGREEMENT

The State of Louisiana, Department of Public Safety and Corrections, Office of State Police (hereinafter, the "Division") and Halifax Security, Inc. d/b/a North American Video, Inc. (hereinafter, "Halifax"), do hereby represent and agree as follows:

WHEREAS, the Louisiana Gaming Control Board has sent a Notice of Recommendation of Suspension and Penalty to Halifax, which Notice contains allegations of certain violations of Louisiana Gaming Control Law; and

WHEREAS, the issues raised by said Notice are set for hearing before the Louisiana Gaming Control Board Administrative Hearing Office on July 11, 2012; and

WHEREAS, the Division and Halifax are desirous of fully and finally compromising and settling all issues and disputes arising out of and in connection with said notice;

NOW, THEREFORE, in consideration of the foregoing, the parties hereto do hereby agree and stipulate as follows:

STIPULATIONS

1. Halifax was to submit an Annual Affidavit and annual permit fee by March 27, 2012, the anniversary date of its non-gaming supplier permit.
2. Halifax submitted (1) an Annual Permit Update Form; (2) an Annual Fee Affidavit; and (3) \$250.00 renewal fee on May 30, 2012, approximately two

