

State of Louisiana Gaming Control Board

JOHN BEL EDWARDS GOVERNOR RONNIE JONES
CHAIRMAN

IN RE: PNK (BATON ROUGE) PARTNERSHIP D/B/A L'AUBERGE CASINO & HOTEL BATON ROUGE

LICENSE NO. R011000801 SAR# 16-1-38-123-2663 SAR# 16-1-38-124-2664 CONSOLIDATED

ORDER

This matter was considered by the Louisiana Gaming Control Board at its meeting of October 20, 2016. The Hearing Officer's order dated September 20, 2016, based on the "Joint Motion for Approval of Compromise and Settlement Agreement" in the matter of the two "Notices of Violation and Penalty", by and between PNK (Baton Rouge) Partnership d/b/a L'Auberge Casino & Hotel Baton Rouge, License No. R011000801, SAR#16-1-38-123-2663, SAR#16-1-38-124-2664, and the State of Louisiana, Department of Public Safety and Corrections, Office of State Police, which is attached hereto and incorporated herein, is **APPROVED**.

THUS DONE AND SIGNED on this the 20th day of October, 2016.

LOUISIANA GAMING CONTROL BOARD

LOUISIANA GAMING CONTROL BOARD

I HEREBY CERTIFY THAT A CERTIFIED

COPY HAS BEEN MAILED OR SERVED ON

ALL PARTIES THIS

CB-4014AL DOCKET CLERK

RONNIE JONES, CHAIRMAN

7901 Independence Boulevard, Building A, Baton Rouge, LA 70806 Phone: (225) 925-1846 Fax: (225) 925-1917

RECEIVED By Geralyn at 12:28 pm, Sep 20, 2016

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STATE OF LOUISIANA

LOUISIANA GAMING CONTROL BOARD

ADMINISTRATIVE HEARING OFFICE

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RE:

PNK (BATON ROUGE) PARTNERSHIP D/B/A L'AUBERGE CASINO & HOTEL

BATON ROUGE

SAR # 16-1-38-124-2664 SAR # 16-1-38-123-2663

JOINT MOTION FOR APPROVAL OF CONSOLIDATED COMPROMISE AND SETTLEMENT AGREEMENT

TO THE HONORABLE ADMINISTRATIVE HEARING OFFICER:

NOW COME the State of Louisiana, Department of Public Safety and Corrections, Office of State Police (hereinafter, the "Division") and PNK (Baton Rouge) Partnership d/b/a L'Auberge Casino & Hotel Baton Rouge (hereinafter "PNK (Baton Rouge)", who file this Joint Motion for Approval of Compromise and Settlement Agreement, and in support thereof would respectively show unto the Hearing Officer as follows:

1.

The Division and PNK (Baton Rouge) are desirous of compromising and settling all disputes between them relative to the above referenced administrative proceeding. In connection therewith, the parties have entered into a compromise and settlement agreement, which by its terms will become effective upon approval by the Administrative Hearing Office and the Louisiana Gaming Control Board.

2.

A true and correct copy of the proposed Compromise and Settlement Agreement is attached hereto as Exhibit "A" and incorporated by reference for all purposes.

Buard

WHEREFORE, PREMISES CONSIDERED, the Division and PNK (Baton Rouge), respectfully request that this Honorable Administrative Hearing Officer approve the parties' Compromise and Settlement Agreement.

Respectfully Submitted,

PNK (BATON ROUGE), PARTNERSHIP D/B/A L'AUBERGE BATON ROUGE

By:

Mickey Parenton

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Baton Rouge, Louisiana 70820 Telephone: (337) 395-7777

Facsimile: (337) 395-777

General Manager

PNK (Baton Rouge) Partnership d/b/a L'Auberge Casino & Hotel

Baton Rouge

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Bur

Mesa T. Brown, Bar Roll # Assistant Attorney General

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Counsel for the Office of State Police

LOUISIANA GAMING CONTROL BOARD

ADMINISTRATIVE HEARING OFFICE

RE: PNK (BATON ROUGE) PARTNERSHIP

D/B/A L'AUBERGE CASINO & HOTEL

BATON ROUGE

SAR # 16-1-38-124-2664 SAR # 16-1-38-123-2663

CONSOLIDATED COMPROMISE AND SETTLEMENT AGREEMENT

The State of Louisiana, Department of Public Safety and Corrections, Office of State Police (hereinafter, the "Division") and PNK (Baton Rouge), Partnership d/b/a L'Auberge Casino & Hotel Baton Rouge (hereinafter "PNK (Baton Rouge)" do hereby represent and agree as follows:

WHEREAS, the Louisiana Gaming Control Board has issued two Notices of Violation and Penalty to PNK (Baton Rouge) alleging certain violations of Louisiana Gaming Control Law; and

WHEREAS, the issues raised by said Notices of Violation and Penalty arise from a related set of facts and an Order of Consolidation has been signed, and

WHEREAS, the issues raised by said Notices are set for hearing before the Louisiana Gaming Control Board Administrative Hearing Office; and

WHEREAS, the Division and PNK (Baton Rouge) are desirous of fully and finally compromising and settling all issues and disputes arising out of and in connection with the said Notice of Violation and Penalty;

NOW, THEREFORE, in consideration of the foregoing, the parties hereto do hereby agree and stipulate as follows:

STIPULATIONS

- 1. On November 14, 2015, a nineteen year-old-male entered and walked onto the casino gaming floor.
- 2. Three security officers were posted at the casino's main entrance at the time that the 19-year-old entered the casino and none of the security officers asked for his identification.
- The 19-year-old loitered, gamed, and purchased a beverage from a bar while inside of the casino. The above referenced incident gave rise to SAR # 16-1-38-2663.
- 4. Also on November 14, 2015, in a related incident, an eighteen year-old male entered the garning area of the easino twice without being asked for identification by security personnel.
- Three security officers were posted at the casino's entrance both times that
 the 18-year-old gained access and failed to request his identification.
- 6. While inside of the casino, the eightcen-year-old loitered and gamed on two separate occasions. The above referenced incident gave rise to SAR # 16-1-38-124-2664.

TERMS AND CONDITIONS

- In lieu of Administrative Action of its Gaming License, PNK (Baton Rouge) will pay a civil penalty of TWENTY THOUSAND AND NO/100 (\$ 20,000)
 DOLLARS.
- 2. The Division hereby agrees to accept PNK (Baton Rouge) payment of the above stated penalty in full and final settlement of the Notice of Violation and Penalty.
- 3. The Division reserves the right to take into consideration these admitted violations in connection with any future investigation, violation or assessment of penalty and in connection with any future assessments of the suitability of PNK (Baton Rouge).
- 4. PNK (Baton Rouge) will develop, implement, and maintain an incentive program to encourage effective underage patron detection and deterrence.
- PNK (Baton Rouge) has or will create ongoing training programs for new hires and current employees that meet defined goals for compliance attainment.
- 6. The terms of this Compromise and Settlement Agreement shall be interpreted under the laws of the State of Louisiana.
- 7. This Compromise and Settlement Agreement constitutes the entire agreement between the Division and PNK (Baton Rouge), pertaining to the subject matter contained herein and supersedes all prior and contemporaneous agreements, representations and understandings of the parties.
- 8. This Compromise and Settlement Agreement is subject to approval by the Hearing Officer and the Louisiana Gaming Control Board. It is expressly understood that if this proposed settlement is approved by the Hearing Officer, this agreement is not thereby executory, but will be submitted to the Louisiana Gaming Control Board for its determination as to whether to approve same or to remand the matter to the Hearing

Officer for a full hearing on the merits. Failure to pay the penalty within fifteen (15) days of approval of this settlement by the Louisiana Gaming Control Board, and to comply with each term and condition listed herein, shall result in the immediate suspension of the PNK (Baton Rouge) license without the necessity of further administrative action, until such time as the penalty is paid in full.

I have read this entire Compromise Settlement Agreement and agree to all stipulations and terms and conditions hereof.

Mickey Parenton, General Manager, on behalf of PNK (Baton Rouge) Partnership d/b/a L'Auberge Casino & Hotel Baton Rouge

Mesa T. Brown, AAG, on behalf of

State of Louisiana, Department of Public Safety &

Corrections, Office of State Police

STATE OF LOUISIANA

LOUISIANA GAMING CONTROL BOARD

ADMINISTRATIVE HEARING OFFICE

RE: PNK (BATON ROUGE) PARTNERSHIP D/B/A L'AUBERGE CASINO & HOTEL

SAR # 16-1-38-124-2664 SAR # 16-1-38-123-2663

BATON ROUGE

ORDER

BE IT REMEMBERED that on the 20 day of 2016, came on for consideration the Joint Motion for Approval of Compromise and Settlement Agreement, and the parties having appeared by and through their respective attorneys of record, and the Hearing Officer having considered the pleadings on file, the proposed Settlement Agreement, and the said Motion, and it appearing to the Hearing Officer that the said Compromise and Settlement Agreement should be approved; it is, therefore,

ORDERED, ADJUDGED, AND DECREED that the Compromise and Settlement Agreement attached to the parties' Joint Motion for Approval of Compromise and Settlement Agreement be, and the same is hereby, APPROVED; that PNK (Baton Rouge) must pay Twenty Thousand and No/100 (\$20, 000.00) Dollars to the Division within fifteen (15) days of approval by the Louisiana Gaming Control Board. Failure to do so shall result in the immediate suspension of the gaming license without the necessity of any further administrative action until such time as the penalty is paid in full.

SIGNED AND ENTERED this

day of

2016, in

Baton Rouge, Louisiana.
LOUISIANA GAMING CONTROL BOARD
HEARING OFFICE
I HEREBY CERTIFY THAT A CERTIFIED

COPY HAS BEEN MAILED OR SERVED ON

RICHARD REYNOLDS HEARING OFFICER

OCKET CHERK, ADMIN HEARING OFFICE

Auberge Casino Buton Rouge

8gt. Lionell Sibley

A TRUE COPY ATTEST LOUISIANA GAMING CONTROL BOARD

HEARING OFF

DOCKET CLERK ADMINISTRATIVE HEARING OFFICE